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UNICODE® CUNEIFORM SIGN LISTS

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Summary

This document outlines the need for ancillary data in the use of the Sumero-Akkadian Cuneiform script, and describes how the Oracc Sign List provides that data.

Status

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Contents

- 1 Introduction
- 2 Principles of Cuneiform Encoding
 - 2.1 Cuneiform Signs
 - 2.1.1 Transliteration
 - 2.1.2 Numerals
 - 2.2 Sequences
 - 2.3 Mergers and Splits
 - 2.3.1 Mergers and Splits of Sequences
 - 2.4 Representative Glyphs
 - 2.5 Sign Names
 - 2.6 Discretionary Ligatures
 - 2.6.1 Discretionary Ligatures

3 The Oracc Sign List References Acknowledgements Modifications

1 Introduction

The Unicode Standard formally establishes the character identity of cuneiform signs by means of their names and representative glyphs in the code charts; see D2 in *Section 3.3, Semantics*, in [Unicode]. However, while the identity of abstract characters is well-established in the cuneiform script, the abstract characters are not usually referred to by standardized names, and the glyphic ranges of the abstract characters are vast and overlapping.

In practice, implementations of the script require an association of sequences of code points with entries in the classical sign lists that establish abstract character identity, and with the sign values which provide the usual names of these signs. Similar reliance on ancillary data may be found in other large scripts; see for instance Unicode Standard Annex #38, "Unicode Han Database (Unihan)" [UAX38].

This document briefly discusses the approach to the complexities of cuneiform sign identity taken by the encoding; it then describes the sign list maintained by the Open Richly Annotated Cuneiform Project (Oracc) which provides the ancillary data necessary to the effective use of the encoded script.

2 Principles of Cuneiform Encoding

2.1 Cuneiform Signs

Assyriologists have published many *sign lists*, that is, classifications of the repertoire of cuneiform signs; these are numbered lists of signs, each illustrated with its glyphic range in the area and time period of interest, and often associated with a representative glyph from the Neo-Assyrian period and with the phonetic and logographic values of the sign. The sign lists play a similar role to the *sources* used in the CJKV or Tangut encodings.

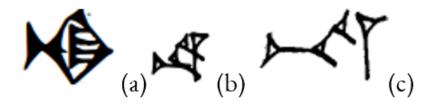
Examples of such sign lists include [aBZL], [BAU], [ELLes], [HZL] [KWU], [LAK], [MÉA], [MZL], [PTACE], [RÉC], [RSP], [ŠL], and [ZATU]. Notably, [ŠL] and [MÉA] use the same numbering; however, the other sign lists have different numbering schemes.

The glyphic range of a sign is stylistic, encompassing for instance variation between lapidary inscriptions and cursive on clay tablets, regional variation, and variation between time periods. This is illustrated in Figure 1, which shows glyphs given in [MÉA] for the sign NA ★ in three styles:

- Old Babylonian lapidary (a)
- Old Babylonian cursive (b)
- Neo-Assyrian (c)

Distinct glyphs for the same sign are not used contrastively, nor do they co-occur in texts that use a consistent style. In particular, for a given sign, the various phonetic and logographic values are not distinguished by contrasting glyphs.

Figure 1. Glyphs for the sign NA .



These signs are the abstract characters of the cuneiform script. See also point 5 in [ICE]. This approach makes it possible to encode texts known from multiple copies (so-called *composite texts*)

that use different styles but consistent spellings, or to use encoded text to refer to the signs diachronically, as in dictionaries or sign lists covering broad timespans.

2.1.1 Transliteration

Review Note: The changes to this section have not yet been reviewed by the UTC, but are included for public review.

Texts are often published in transliterated form; the scheme for transliteration (and for the notation of sign values) originates with Thureau-Dangin's [Syllabaire]. It uses numeric subscripts to distinguish homophones; the numbering of homophones is kept consistent across sign lists.

Note that accents can be used interchangeably with numbers (ú for u₂, ù for u₃), and additional information about the interpretation of signs is conveyed by capitalization and styling; a discussion of the specifics of assyriological transliteration is out of scope for this document.

This relation between transliteration and abstract characters means that encoded cuneiform texts can normally be automatically generated from transliterated corpora. The reverse is not true; for instance, the sign — might be transliterated aš, ina, or dil, depending on context.

There are occasional exceptions where a typical transliteration does not suffice to determine the cuneiform text. An example is the Eblaite version of the sign DIRI; DIRI is normally the sequence III SI.A, but is written III A.SI in Ebla instead, while still being transliterated diri or dirig in the literature on Ebla. When generating cuneiform from transliterations, either information about the provenience of the text should be taken into account to disambiguate these cases, or the transliterations should be adjusted to disambiguate. For instance, the Oracc Digital Corpus of Cuneiform Lexical Texts uses the transliteration dirig(A.SI) to unambiguously represent Eblaite dirig.

A machine-readable format for cuneiform transliteration exists to facilitate such automatic processing of transliterated corpora. See [ATF].

2.1.2 Numerals

Review Note: This section has not yet been reviewed by the UTC, but is included for public review.

The transliteration of numbers is less standardized. Transliterations that merely record the numeric value without also indicating the type of sign used cannot generally be used to automatically produce cuneiform text: in such a transliteration, — and I could both be transliterated as "1".

Other transliterations record the type of numeral, often together with an interpretation as part of a metrological system. For instance, in [ATF], I could be transliterated as 1(barig) if it is a volume measure, or as 1(diš) if it is a count; — could be transliterated as as 1(iku) as an area measure, or as 1(aš) as a count. These transliterations can be used to automatically produce cuneiform text. However, conventions differ as to whether the actual numeric value or only the multiplicity of the sign is recorded in the transliteration: [ATF] uses "1(u) 5(aš)" to transliterate 15 written \(\pi\mathbb{E}\mathbb{F}\), whereas other systems use "10(U) 5(AŠ)". For corpora where the sexagesimal place value system is dominant, in particular in the first millennium, [ATF] allows for the sexagesimal places to be written in a so-called diš-less notation, wherein 1 implicitly represents 1(diš) I. Each sexagesimal place is a decimal number

Note: The Numeric_Value property of cuneiform signs corresponds to the multiplicity of the sign, rather than the numeric value represented, which depends on the metrological system. The sign U < thus has Numeric_Value=1, rather than Numeric_Value=10. See *Cuneiform Numerals* in Section 11.1.2, Cuneiform Numbers and Punctuation, of [Unicode].

An additional complication when producing cuneiform text from transliterations of numeric expressions is that some variant stacking patterns for cuneiform numerals are separately encoded, even though they are rarely marked in transliteration. For instance, a transliteration 4(diš) can correspond to either U+12409 \(\pi\) or U+1243C \(\pi\); likewise 7(diš), 8(diš), and 9(diš) can correspond to either \(\pi\), \(\p

There are some corpora where a contrast is recorded in transliteration between the Ψ and Ψ families of stacking patterns; these co-occur in some Ur III texts where the Ψ family is used in scratch calculations and the Ψ family is used in results. In that case, the Ψ family is transliterated as a variant, thus 4(diš@v) in [ATF]. This convention is reflected in [OSL], as well as in the character names: U+1243C Ψ is CUNEIFORM NUMERIC SIGN FOUR VARIANT FORM LIMMU, whereas U+12409 Ψ is plain CUNEIFORM NUMERIC SIGN FOUR DISH.

The main reason for the disunification of stacking patterns, which would normally be a stylistic distinction, is the representability of sign lists that distinguish them, but otherwise present all signs in a consistent style; in particular, [MZL], whose cuneiform text is in Neo-Assyrian style, assigns different sign list numbers and sometimes different values to the variant stacking patterns: Ψ is number 860 with the value limmu, and Ψ is number 852 with the value limmus. Since that need does not extend to earlier periods, the stacking patterns used in the Early Dynastic period are not separately encoded, and the default versions of numeric signs should be used in these periods. For instance, the character U+12399 ≪ should be used for Early Dynastic 2(u), even though the two stylus impressions are normally stacked vertically rather than horizontally in Early Dynastic tablets: the character U+12399 has the glyph ¾ in the Early Dynastic font [OFS-RSP].

2.2 Sequences

Review Note: The changes to this section have not yet been reviewed by the UTC, but are included for public review.

Some signs can be analysed in most all—styles as a sequence of other signs written one after the other, and some sequences of signs have special values unrelated to their components; for instance, the sign GEME₂ * is always—written like the sign SAL * followed by the sign KUR *, even as these signs change across styles; the sign DIRI TIII is always—written as SI TI followed by A II.

In cases where a sign can be analysed as a sequence both in the third millennium and in the Neo-Assyrian style, that sign is normally Such signs are not separately encoded; the corresponding sequences should be used to represent this these abstract characters. If the analysis as a sequence is applicable only in the third millennium, but not in Neo-Assyrian, or only in Neo-Assyrian, but not in the third millennium, the character is generally encoded atomically; examples of both are given in Section 2.3.1, Mergers and Splits of Sequences. See also items 2 and 5 in [Principles], and Complex and Compound Signs in Section 11.1, Sumero-Akkadian, of [Unicode]. An exception is made for signs that were taught as basic syllables as part of the early scribal curriculum, such as those in the sign

exercises Syllable Alphabets A and B (known to the scribes by their incipits ►► ME-ME and IIII A-A) or STATE (TU-TA-TI); these basic syllables are then used later in the curriculum to describe pronunciations of more complex signs in sign lists such as Aa or Ea. The basic syllables have been encoded atomically, and should not be represented as sequences. For instance, according to the other encoding principles, the sign III U3 could be represented as the sequence III IGI.DIB, or the sign III UZ as III SE.HU, but they are atomically encoded. See also item 4 in [Changes]. Note that the sequences can appear in cuneiform text when they are not read as the basic syllables:

Cuneiform	Transliteration	Translation	Representation of underlined text	
<u> </u>	nunuz <u>uz</u> mušen	duck eggs		
	i-zu- <u>uz</u> -zu	they will divide	₩ I { <mark>T</mark> UZ	
<u>₩</u> <u>₩</u> KШ+	1(ban₂) 3(diš) sila₃ <u>še</u> <u>mušen</u> niga	1 ban 3 sila (~13 l) of barley for the fattened birds		
	da- <u>še-ḫu</u> -um	(a name)	≝ ŠE followed by I √I HU=MUŠEN	
○四日本	ša la i-pa-aš- <u>še-ḫu</u>	that cannot be soothed	ijo Mocert	

In all styles of cuneiform some signs that are analysed as sequences diverge in appearance from their components. Fonts targeting specific styles should include ligatures for these sequences as appropriate. This is discussed in *Section 2.6*, *Ligatures*.

2.3 Mergers and Splits

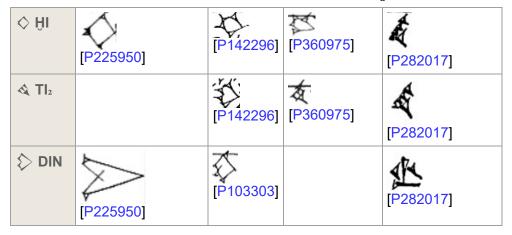
Some signs have distinct glyphs in the styles of earlier periods, but identical glyphs in those of later periods; such occurrences are called *mergers*. Conversely, some signs have identical glyphs in the styles of earlier periods, distinct glyphs in those of later periods; such occurrences are called *splits*.

When encoding texts written in styles where the glyphs of merged or split signs are identical, the character corresponding to the correct sign value should be used, so that the encoding of a text is independent of the style in which it is written.

Figure 2 illustrates splits and mergers affecting four signs; note that a sign can be affected both by a split and a merger, as is the case of $Tl_2 \triangleleft N$, which splits from DIN $\triangleright N$ and merges with $\bigcirc N$. The source of the hand copy shown is given in each cell of the table.

Figure 2. Mergers and splits of \bullet , \diamondsuit , \diamondsuit , and \diamondsuit .

	Early Dynastic Illa	Ur III	Old Assyrian	Middle Assyrian
● ŠA	R ₂ [P010576]	[P142296]		(P281820]



This diachronic approach to the encoding means that characters newly encoded to represent a contrast present in some styles may need to be supported in fonts where that contrast is absent. For instance, after the sign F- MEŠ was encoded in Unicode Version 7.0 to represent the contrast with the sequence me-eš in Neo-Assyrian styles, as illustrated in Section 2.3.1, Mergers and Splits of Sequences, fonts for Old Babylonian styles had to be updated to support newly encoded Akkadian texts, even though the plural marker MEŠ looks identical to the sequence of syllables me-eš in Old Babylonian.

See also item 11 in [Principles], as well as *Mergers and Splits* in *Section 11.1, Sumero-Akkadian*, of [Unicode].

2.3.1 Mergers and Splits of Sequences

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A special case of mergers and splits is that of signs that look like sequences of other signs in some styles, but have a different appearance (and are sometimes even used contrastively with the corresponding sequence) in other styles. In such cases, they are When such a sign has a distinctive appearance throughout the third millennium or in the Neo-Assyrian style, it is generally not considered as a sequence as a sequence as a sequence, and is are separately encoded. The special treatment of the Neo-Assyrian style is due to its status as the index form in most classical reference works. Fonts catering to more cursive styles may need to include many ligatures, as described in Section 2.6, Ligatures.

For example, the sign MEŠ +« (an Akkadian plural marker) originally looks like the sequence of syllables *me-eš* +«, but their appearance diverges in Neo-Assyrian styles, as shown in Figure 3. This is a split.

Note: As in the single-character case, the term *split* refers to the divergence of the visual representations of two fixed character sequences, here \vdash \ll and \vdash \ll . That term does not refer to the phenomenon of a sign becoming a sequence of signs; indeed \vdash \ll instead arose by two pre-existing signs coalescing into one.

Figure 3. The sequence me-eš — and the sign MEŠ — on the Neo-Assyrian prism [P422664].





As an example of a merger, the sign **t**, whose Sumerian readings include šeš₂ "to anoint" and še₃ "to weep", initially looks distinct from the sequence of unrelated signs SIKI.LAM **⋈** , the first of which

means "hair" and the latter a kind of tree; this is the case in the reference glyphs. However, in later styles, the sign ŠEŠ₂ [[[]]] has the same appearance as the sequence SIKI.LAM [[]].

Note: The term *merger* refers to the convergence of the visual representations of two fixed character sequences, here the and the sign that broken up into a sequence of signs.
■ The term *merger* refers to the convergence of the visual representations of two fixed character sequences, here the sign that the sign that broken up into a sequence of signs.

While the diachronic character identity used for the cuneiform encoding generally matches the understanding scribes had of character identity in their own script, there are discrepancies as scribes were not aware of mergers long past, let alone future splits. For example, some lexical texts describe explicitly the sign ŠEŠ₂ as being made up of the sequence \mathbb{Z} , see [P467315.r.i.22].

2.4 Representative Glyphs

As mentioned in *Section 2.1, Cuneiform Signs*, sign lists typically use a Neo-Assyrian style for their reference glyphs, even when illustrating a different style.

However, because many signs are merged in the Neo-Assyrian style, this was an impractical choice for the reference glyphs in the code charts; instead these reference glyphs are primarily in an Ur III style, where most signs are distinct; where a sign is unattested in the Ur III period, or where signs appear identical in the Ur III period, a different style was chosen for the sake of distinctiveness of the reference glyphs. For example, the reference glyph for $\check{S}AR_2 \oplus is$ in an Early Dynastic style, because that sign merges with $\check{H}I \diamondsuit$ by the Ur III period; the reference glyph for $TI_2 \diamondsuit$ is in a style that is Old Assyrian or newer, because it has not yet split from DIN \diamondsuit in the Ur III period.

See also item 7 in [Principles], as well as Fonts in Section 11.1, Sumero-Akkadian, of [Unicode]

2.5 Sign Names

The names of the signs are generally based on a structural analysis of the signs, rather than on the common sign values; thus \Longrightarrow is described as GUD×KUR (\Longrightarrow × \bigstar , meaning \bigstar inscribed inside \Longrightarrow), rather than AM. Note that this structural analysis may not be evident in all styles; see Figure 4.

Figure 4. Neo-Assyrian glyphs for AM ♣, GUD ➡, and KUR ❖ from [MÉA].



In some styles, the sign may even have a different structure from the one described by the name, as shown in Figure 5, where U+1224B AT CUNEIFORM SIGN NE SHESHIG (left) instead appears like NE×PAP AT NE For comparison, the appearance of the sign NE AT on the same artifact is shown on the right.

Figure 5. The signs BIL₂ 저는 and NE 저는 on the stele of Hammurapi [P249253].

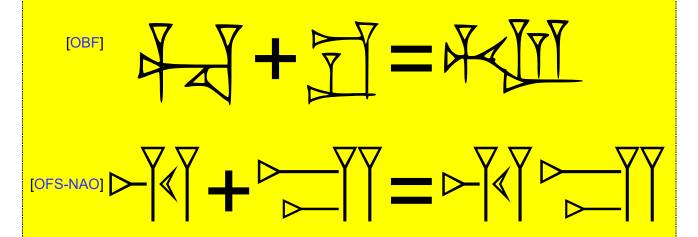


See also item 8 in [Principles].

Review Note: This section has not yet been reviewed by the UTC, but is included for public review.

All styles of cuneiform require ligatures for some character sequences in order to properly capture the appearance of compound signs. As the analysis of signs as sequences takes into account their appearance in the Neo-Assyrian style, that style requires fewer ligatures. For example, the sign U_5 H_1 , whose meanings include "to ride", is encoded as the sequence H_1 . In some Early Dynastic styles and in the Neo-Assyrian style, no ligature is needed for this sign. However, in the style of Old Babylonian literary texts, a ligature should be used to capture the appearance of the U_5 sign. This is illustrated in Figure 6, which shows the sequence H_2 as displayed in an Old Babylonian literary font OBF and a Neo-Assyrian font OFS-NAO].

Figure 6. The text \frac{1}{1} + \frac{1}{1} = \frac{1}{1} \frac{1}{1} \text{ shown with two cuneiform fonts.}



The same ligatures that occur within a sign encoded as a sequence can also occur when that sequence corresponds to multiple signs. For instance, in the Hellenistic period, the sign III DIRI is ligated, but that same ligature is used in occurrences that are read si-a; in the Ur III period, the

sequence ├m ├─ um-me is typically ligated as ├ा─. Note that while some transliterations use a single value for these sign sequences, such as sa₅ for for si-a or eme₂ for um-me, this practice is neither consistent nor strongly correlated with ligation.

Even the Neo-Assyrian style requires a few ligatures. Some are classically analysed as ligatures between separate signs, such as the very frequent —+-\\ as-\sur{} as-\sur{} as-\sur{} are analysed as compound signs, such as \+ 其三僧 dul(U.TUG₂), or variably transliterated as sequences or single signs, such as \(\lambda{}\) nenni, often transliterated BUL.BUL, where BUL is \(\lambda{}\).

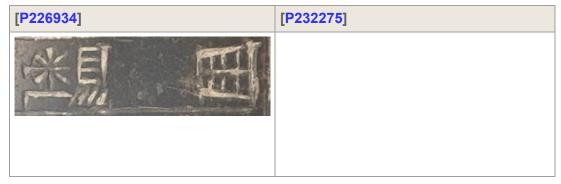
In order to prevent a ligature between two signs, U+200C ZERO WIDTH NON-JOINER can be used; see *Non-joiner* in *Section 23.2.2, Cursive Connection and Ligatures*, of [Unicode]. When generating cuneiform text from transliterations, a zero width non-joiner should be inserted only where the transliteration marks an exceptional lack of joining. Since many ligatures occur not only within compound signs, but also between signs that are separately transliterated without the ligation being marked in the transliteration, it is not advisable to systematically prevent ligatures wherever the transliteration indicates a sign boundary with a hyphen or a dot.

Ligatures can occasionally occur across signs that are analyzed as being part of separate words; for instance, in Early Dynastic IIIb Dirsu, illustrated here by the font [OFS-RSP], the signs SAD and AD DAL2 are ligated in SAD and generally preferable to separate words when generating cuneiform text, if interword ligatures are desired, the space between ligated words should be suppressed.

2.6.1 Discretionary Ligatures

Review Note: The changes to this section have not yet been reviewed by the UTC, but are included for public review.

On occasion, some sequences of signs may be combined in a ligature for stylistic effect, without that ligature being used systematically. This is illustrated in Figure 7, where the signs * and * are ligated on the inscription on the left, but not on the inscription on the right, even though the inscriptions are in consistent styles which could be expected to be covered by the same font. Such ligatures are not usually distinguished in transliteration from the corresponding sequences, so that both inscriptions would be transliterated desure or defending they do not carry distinct semantics. They are not separately encoded; it is left to the font to display these if desired, possibly based on the presence of a zero-width joiner; see **Joiner** Cursive Connection and Ligatures** in Section 23.2.2, **Cursive Connection and Ligatures** In Section 23.2.2.2, **Cursive Connection and Ligatures** Layout Controls**, of [Unicode], and item 2 in [Principles]. When one needs to convey the ligature in transliteration, a plus sign is used, thus defending should be mapped to U+200D ZERO WIDTH JOINER.



3 The Oracc Sign List

The Oracc Sign List [OSL] (formerly Oracc Global Sign List, OGSL) associates signs with their encoding, with their values, and with their numbers in various sign lists; it can therefore be used to automatically produce encoded versions of transliterated texts as described in *Section 2.1.1*, *Transliteration*, to build input methods based on transliteration, and to look up the glyphic range of a sign in various styles.

The Oracc Sign List is available as the machine-readable file https://github.com/oracc/osl/blob/master/00lib/osl.asl. A specification of the structure of that file may be found at [ASL].

The Oracc Sign List treats the Unicode encoding as a sign list, and establishes a concordance with the other sign lists. However, while multiple OSL signs may share the same number in the classical sign lists, a code point corresponds to at most one OSL sign. This is a consequence of the principles described in *Section 2.3*, *Mergers and Splits*.

For example, the signs ABALAG and DUB₂ both correspond to sign number 565 in [MZL] because they merge after the Ur III period, but they are encoded separately as they are distinct in earlier styles.

Not all signs in the OSL correspond to a Unicode code point. Some signs are encoded as sequences, as described in Section *Section 2.2, Sequences*; the OSL documents the appropriate sequence. Other signs have no documented encoding. Some of them may be candidates for encoding; however, as the OSL is a working dataset, others may eventually be found to be misreadings, to be duplicates or variants of already-encoded signs, or to otherwise be unencodable.

Indeed, some signs in the OSL, including some that are encoded in Unicode, are marked as deprecated, because they are the result of errors in the classification of cuneiform signs.

Some of these errors occurred as part of the encoding process. For example, the sign DUB×EŠ₂ **Im does not exist; sign number 243 in [MZL] is named DUB׊E, but that was misread during encoding as DUB׊È (with a spurious grave accent). The grave accent is equivalent to subscript 3, and še₃ and eš₂ are values of the same sign II, so the misreading DUB׊È was encoded as DUB×EŠ₂.

Others are errors in earlier scholarship that were spotted after encoding. For example, the sign DUB׊E [MZL], which represents sign number 243 in [MZL], does not exist; it was listed in [MZL] based on a misreading of actual tablets in [gaz₃]; the sign appearing on these tablets should have been read GUM׊E [MZL].

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Modifications

The following summarizes modifications from the previous revision of this document.

Revision 4

- Section 2.1.1, Transliteration: Added a discussion of cases where usual transliterations are not sufficient to determine the cuneiform text.
- Added Section 2.1.2, Numerals: A discussion of practices in numeric transliteration, the disunification of stacking patterns, and the implications for generating cuneiform text.
- Section 2.2, Sequences: Significantly reworded to better reflect the nuances of the encoding model.
- Section 2.3.1, Mergers and Splits of Sequences: Reworded to take ligatures into account.
- Added Section 2.6, Ligatures: A discussion of non-discretionary ligatures.
- Section 2.6.1, Discretionary Ligatures: Added a recommendation to map transliteration + to ZWJ.

Revision 3

Publication of first approved version.

Revision 2

- Advanced from Proposed Draft to Draft Unicode Technical Report.
- Addressed feedback from the Editorial Committee.
- Added an example of a sign-sequence merger and a note on scribal understanding of character identity.
- Updated the references to OGSL to reflect its renaming to OSL.
- Added a reference to PTACE.

Revision 1

- Initial version following proposal L2/23-071 to the UTC.
- L2/23-186: Added a section on discretionary ligatures.
- · 1.2/23-229·
 - Rewrote Section 3 to reflect changes to the OGSL and its documentation.
 - Clarified that glyphs may exhibit structures different from the ones described by the name.
 - Clarified implications for fonts and input methods.
 - Added some rationale for the encoding model and elaborated on the analogy with other large scripts.

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